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PTO/SB/21 (6-98)
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/683,661
Filing Date	10/09/2003
First Named Inventor	RICE, David E.
Group Art Unit	
Examiner Name	
Total Number of Pages in This Submission	
Attorney Docket Number	3056.111

ENCLOSURES *(check all that apply)*

- [ ] Fee Transmittal Form
- [ ] Fee Attached
- [ ] Amendment / Response
- [ ] After Final
- [ ] Affidavits/declaration(s)
- [ ] Extension of Time Request
- [ ] Express Abandonment Request
- [x] Information Disclosure Statement
- [ ] Certified Copy of Priority Document(s)
- [ ] Response to Missing Parts/ Incomplete Application
- [ ] Response to Missing Parts under 37 CFR 1.52 or 1.53
- [ ] Assignment Papers *(for an Application)*
- [ ] Drawing(s)
- [ ] Licensing-related Papers
- [ ] Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- [ ] Petition to Convert to a Provisional Application
- [ ] Power of Attorney, Revocation Change of Correspondence Address
- [ ] Terminal Disclaimer
- [ ] Small Entity Statement
- [ ] Request for Refund
- [ ] After Allowance Communication to Group
- [ ] Appeal Communication to Board of Appeals and Interferences
- [ ] Appeal Communication to Group *(Appeal Notice, Brief, Reply Brief)*
- [ ] Proprietary Information
- [ ] Status Letter
- [x] Additional Enclosure(s) *(please identify below)*

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Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Bradley P. Heisler
Signature	
Date	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 01/23/2004

Typed or printed name	Carmen McCarty		
Signature	Carmen McCarty	Date	January 23, 2004

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UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.	:	10/683,661
Applicant	:	RICE, David E.
Filed	:	10/09/2003
TC/A.U.	:	
Examiner	:	
Docket No.	:	3056.111

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

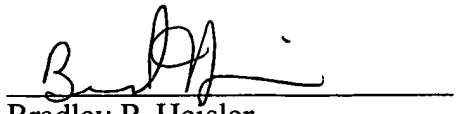
In accordance with the requirements of 37 C.F.R. §§1.97 and 1.98, the undersigned requests that the information contained herein be considered by the Office.

REMARKS

The information to be considered includes: a form PTO-1449 listing references and a copy of the listed references, in accordance with 37 C.F.R. §1.98.

This document is filed before a first Office action on the merits of this case, and hence should be considered as timely filed. Should the Examiner have any questions upon his review of the above information, the undersigned requests that the Examiner contact the undersigned by phone.

Respectfully Submitted:



1-23-04

Bradley P. Heisler
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Registration No.: 35,892

Date

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known	
Application Number	10/683,661
Filing Date	10/09/2003
First Named Inventor	RICE, David E.
Group Art Unit	
Examiner Name	
Attorney Docket Number	3056.111

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	U.S. Patent Document Number	Kind Code[2] (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		1,310,958		O'Connor	07-22-1919	
		1,565,016		Lake	12-08-1925	
		2,563,766		Weinstein	08-07-1951	
		3,533,107		Raneri	10-13-1970	
		3,562,812		Greggains	02-16-1971	
		4,028,742		Marquis	06-14-1977	
		4,411,222		Wolfson	10-25-1983	
		4,429,419		Snyder	02-07-1984	
		5,002,149		Watanabe	03-26-1991	
		6,167,572		Naumovitz	01-02-2001	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	Foreign Patent Document Office[3]	Number[4]	Kind Code[5] (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T[6]

Examiner Signature		Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[1] Unique citation designation number. [2] See attached Kinds of U.S. Patent Documents. [3] Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). [4] For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. [5] Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. [6] Applicant is to place a check mark here if English language Translation is attached.

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